

Privacy Policy

PKS Investment Advisors LLC ("PKS IA") is committed to client confidentiality and to protect your privacy. The following information is provided, as required by law, to help you understand our privacy policy and how we will handle and maintain confidential personal information as we fulfill our obligations to protect your privacy. "Personal information" refers to the nonpublic financial information obtained by PKS IA in connection with carrying out our services.

Information We Collect

PKS IA collects personal information as part of our relationship to you, to provide client services and fulfill legal and regulatory requirements. The type of information PKS IA collects may include:

- ▲ Information PKS IA receives from you on forms (such as name, address, Social Security number, profile documents, assets and income);
- ▲ Information you provide PKS IA directly about your personal finances or personal circumstances or which PKS IA may receive from brokerage statements or other information you authorize PKS IA to receive.

Information Disclosed In Administering Products and Services

PKS IA will not disclose personal information about current or former clients to non-affiliated third parties except as permitted or required by law. PKS IA does not sell any personal information about you to any third party. PKS IA will not disclose personal information without your authorization, except as required or permitted by law, even to affiliated third parties.

Procedures to Protect Confidentiality and Security of Your Personal Information

PKS IA has procedures in place that limit access to personal information to those employees who need to know such information in order to perform business services. In addition, PKS IA maintains physical, electronic and procedural safeguards to guard your nonpublic personal information. PKS IA educates our employees on the importance of protecting the privacy and security of confidential personal information.

PKS IA will update its policy and procedures when necessary to ensure that your privacy is maintained and that PKS IA conducts business in a way that fulfills our commitment to you. If PKS IA makes any material changes in its privacy policy, we will make that information available to clients through our Web site and/or other communications.

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CONTACTING US

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